

03/30/00
JC784 U.S. PTO

04-03-00

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 03048.P011

Total Pages 2

First Named Inventor or Application Identifier Kelly Gene Johnson

Express Mail Label No. EL143568896US

1542 U.S. PTO
09/539197

03/30/00

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification (Total Pages 46)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. Drawings(s) (35 USC 113) (Total Sheets 11)
4. Oath or Declaration (Total Pages 5)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

7. _____ Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a. _____ Computer Readable Copy
- b. _____ Paper Copy (identical to computer copy)
- c. _____ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & documents(s))

9. _____ a. 37 CFR 3.73(b) Statement (where there is an assignee)

b. Power of Attorney

10. _____ English Translation Document (if applicable)

11. _____ a. Information Disclosure Statement (IDS)/PTO-1449

_____ b. Copies of IDS Citations

12. _____ Preliminary Amendment

13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

14. _____ a. Small Entity Statement(s)

_____ b. Statement filed in prior application, Status still proper and desired

15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Other: Certificate of Express Mail with copy of postcard showing contents of
Express Mail package.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____

18. **Correspondence Address**

Customer Number or Bar Code Label

(Insert Customer No. or Attach Bar Code Label here)

or

Correspondence Address Below

NAME

Ronald C. Card

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS

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Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8598 FAX (408) 720-9397

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09/539197
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"Express Mail" mailing label number: EL143568896US
Date of Deposit: 3/30/00

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

JIANHUA BEI
(Typed or printed name of person mailing paper or fee)

Gao Wei
(Signature of person mailing paper or fee)

3/30/00
(Date signed)

| | |
|---|---------------------------------------|
| Serial/Patent No.: <u>*****</u> | Filing/Issue Date / <u>Herewith</u> |
| Client: <u>SILICON SPICE</u> | |
| Title: <u>MULTI-CHANNEL MULTI-SERVICE DEBUG ON A PIPELINED CPU ARCHITECTURE</u> | |
| BSTZ File No.: <u>03048-P011</u> | Att'y/Secty Initials: <u>JYS/KC/K</u> |
| Date Mailed: <u>3/30/00</u> | Docket Due Date: |
| The following has been received in the U.S. Patent & Trademark Office on the date stamped herein. | |
| <input checked="" type="checkbox"/> Amendment/Response (<u>5 pg.</u>) <input type="checkbox"/> Extension No. <u>EL143568896US</u> <input checked="" type="checkbox"/> Check No. <u>33210</u> | |
| <input type="checkbox"/> Appeal Brief (<u>5 pg.</u>) (in triplicate) <input type="checkbox"/> Motion for Extension of Time <input type="checkbox"/> Am. # <u>1864</u> | |
| <input checked="" type="checkbox"/> Application - Utility (<u>46 pg.</u> , with cover sheet attached) <input type="checkbox"/> Mailed Directly to USPTO <u>140 (46 pg.)</u> <input type="checkbox"/> Check No. _____ | |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (<u>5 pg.</u>) <input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Am. _____ | |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (<u>7 pg.</u>) <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Am. _____ | |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (<u>5 pg.</u>) <input type="checkbox"/> Petition for _____ <input type="checkbox"/> Power of Attorney <u>5 pg.</u> <input type="checkbox"/> Petition for _____ | |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (<u>5 pg.</u>) <input type="checkbox"/> Postcard Address <u>1000 19th St., Suite 1000, Washington, DC 20006</u> <input type="checkbox"/> Petition for _____ | |
| <input type="checkbox"/> Application - Design (<u>5 pg.</u>) <input type="checkbox"/> Power of Attorney <u>5 pg.</u> <input type="checkbox"/> Petition for _____ | |
| <input type="checkbox"/> Application - PCT (<u>5 pg.</u>) <input type="checkbox"/> Preliminary Amendment <u>5 pg.</u> <input type="checkbox"/> Reply Brief <u>5 pg.</u> <input type="checkbox"/> Response to Notice of Missing Parts <u>5 pg.</u> | |
| <input checked="" type="checkbox"/> Assignment and Cover Sheet <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business <input type="checkbox"/> Transmittal Letter, in triplicate, <u>(2x2 pgs.)</u> | |
| <input type="checkbox"/> Certificate of Mailing <input type="checkbox"/> Declaration & POA (<u>5 pg.</u>) <input type="checkbox"/> Transmittal Letter, in triplicate, <u>(2x2 pgs.)</u> | |
| <input type="checkbox"/> Decline Disc & Opt. & Copy of Inventor Signature (<u>5 pg.</u>) <input type="checkbox"/> Fee Transmittal, in triplicate, <u>(2x2 pgs.)</u> | |
| <input checked="" type="checkbox"/> Drawings: <u>119</u> of sheets includes <u>14</u> figures <input type="checkbox"/> Other: _____ | |

FEE TRANSMITTAL FOR FY 2000TOTAL AMOUNT OF PAYMENT (\$) 1,864.00

Complete if Known:

Application No. Not yet assignedFiling Date HerewithFirst Named Inventor Kelly Gene JohnsonGroup Art Unit Not yet assignedExaminer Name Not yet assignedAttorney Docket No. 003048.P011**METHOD OF PAYMENT** (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

Check
 Money Order
 Other

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity | Small Entity | | |
|------------------------------------|--------------|------|------|
| Fee | Fee | Fee | Fee |
| Code | (\$) | Code | (\$) |
| 101 | 690 | 201 | 345 |
| 106 | 310 | 206 | 155 |
| 107 | 480 | 207 | 240 |
| 108 | 690 | 208 | 345 |
| 114 | 150 | 214 | 75 |
| Fee Description | | | |
| Utility application filing fee | | | |
| Design application filing fee | | | |
| Plant filing fee | | | |
| Reissue filing fee | | | |
| Provisional application filing fee | | | |
| Fee Paid | | | |
| <u>690.00</u> | | | |

SUBTOTAL (1) \$ 690.00**2. EXTRA CLAIM FEES**

| | Extra Claims | Fee from below | Fee Paid |
|------------------------------|------------------|-------------------|-----------------|
| Total Claims <u>44</u> | $- 20^{**} = 24$ | X <u>18.00</u> | = <u>432.00</u> |
| Independent Claims <u>12</u> | $- 3^{**} = 9$ | X <u>78.00</u> | = <u>702.00</u> |
| Multiple Dependent | | | = _____ |

**Or number previously paid, if greater; For Reissues, see below.

| Large Entity | | Small Entity | |
|---|------|--------------|------|
| Fee | Fee | Fee | Fee |
| Code | (\$) | Code | (\$) |
| 103 | 18 | 203 | 9 |
| 102 | 78 | 202 | 39 |
| 104 | 260 | 204 | 130 |
| 109 | 78 | 209 | 39 |
| 110 | 18 | 210 | 9 |
| Fee Description | | | |
| Claims in excess of 20 | | | |
| Independent claims in excess of 3 | | | |
| Multiple dependent claim, if not paid | | | |
| **Reissue independent claims over original patent | | | |
| **Reissue claims in excess of 20 and over original patent | | | |

SUBTOTAL (2) \$ 1,134.00

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| <u>Large Entity</u> | <u>Small Entity</u> | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|---------------------|------------------------|--|
| Fee Code | Fee (\$) | Fee Code (\$) | |
| 105 | 130 | 205 | Surcharge - late filing fee or oath |
| 127 | 50 | 227 | Surcharge - late provisional filing fee or cover sheet |
| 139 | 130 | 139 | Non-English specification |
| 147 | 2,520 | 147 | For filing a request for reexamination |
| 112 | 920* | 112 | Requesting publication of SIR prior to Examiner action |
| 113 | 1,840* | 113 | Requesting publication of SIR after Examiner action |
| 115 | 110 | 215 | Extension for response within first month |
| 116 | 380 | 216 | Extension for response within second month |
| 117 | 870 | 217 | Extension for response within third month |
| 118 | 1,360 | 218 | Extension for response within fourth month |
| 128 | 1,850 | 228 | Extension for response within fifth month |
| 119 | 300 | 219 | Notice of Appeal |
| 120 | 300 | 220 | Filing a brief in support of an appeal |
| 121 | 260 | 221 | Request for oral hearing |
| 138 | 1,510 | 138 | Petition to institute a public use proceeding |
| 140 | 110 | 240 | Petition to revive unavoidably abandoned application |
| 141 | 1,210 | 241 | Petition to revive unintentionally abandoned application |
| 142 | 1,210 | 242 | Utility issue fee (or reissue) |
| 143 | 430 | 243 | Design issue fee |
| 144 | 580 | 244 | Plant issue fee |
| 122 | 130 | 122 | Petitions to the Commissioner |
| 123 | 50 | 123 | Petitions related to provisional applications |
| 126 | 240 | 126 | Submission of Information Disclosure Stmt |
| 581 | 40 | 581 | Recording each patent assignment per property (times number of properties) |
| 146 | 690 | 246 | For filing a submission after final rejection (see 37 CFR 1.129(a)) |
| 149 | 690 | 249 | For each additional invention to be examined (see 37 CFR 1.129(a)) |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Ronald C. Card Signature: Ronald C. Card Date: March 30, 2000

Reg. Number 44,587 Deposit Account User ID (complete if applicable)